

# What “2-SAT solvability” means in the rainbow proof

## 2-SAT in general

**2-SAT** is short for *2-satisfiability*. Standard logical problem:

- You have a set of *boolean variables*  $x_1, x_2, \dots, x_n$ , each taking value 0 or 1 (false/true).
- You have a set of *clauses*, each a constraint involving exactly *two* variables.
- **2-SAT solvability** = “does there exist an assignment of 0/1 to all variables such that every clause is satisfied simultaneously?”

2-SAT is polynomial-time decidable (linear time, in fact) using the *implication graph* and *strongly-connected components*. This is in contrast to 3-SAT (3 variables per clause), which is NP-complete.

## In our setup (the rainbow proof)

**Variables.**  $o_0, o_1, \dots, o_{m-1} \in \{0, 1\}$ , one *orientation bit* per  $D$ -position on the dual annular cycle  $T'_{\text{ann}}$ . At each  $D$ -position  $p_j$ , the two incident cycle edges must take the two colors of  $\{1, 2, 3\} \setminus \{\sigma_j\}$  in some order;  $o_j$  decides which color sits on the left of  $p_j$  versus the right.

**Clauses.** One per inter- $D$ -position gap on  $T'_{\text{ann}}$ . Each gap involves two adjacent orientations  $(o_j, o_{j+1})$  and forbids certain combinations:

gap type	clause type	combos forbidden
length-1, $\sigma_j \neq \sigma_{j+1}$	equality (fixed pair)	3 of 4 forbidden, leaves one valid combo
length-1, $\sigma_j = \sigma_{j+1}$	$o_j = o_{j+1}$	(0, 1) and (1, 0) forbidden
length-2, $\sigma_j \neq \sigma_{j+1}$	one combo forbidden	1 of 4 forbidden
length-2, $\sigma_j = \sigma_{j+1}$	$o_j = o_{j+1}$	(0, 1) and (1, 0) forbidden
length- $\geq 3$	no clause	gap has full slack

Each clause involves exactly two variables, so the system is 2-SAT.

**Cyclic structure.** Because the gaps wrap cyclically around  $T'_{\text{ann}}$ , the clauses form a *cyclic* chain — clause on  $(o_0, o_1)$ , then  $(o_1, o_2)$ ,  $\dots$ , finally  $(o_{m-1}, o_0)$ .

## What “solvable” means concretely

For a given  $\sigma \in \mathcal{P}_m$ :

- **Solvable**  $\Leftrightarrow$  there is an orientation  $(o_0, \dots, o_{m-1})$  satisfying all clauses simultaneously  $\Rightarrow$  proper edge 3-coloring of  $T'_f$  inducing  $\sigma$  exists  $\Rightarrow \sigma \in \pi_D$ .

- **Unsolvable**  $\Leftrightarrow$  no such orientation  $\Rightarrow \sigma \notin \pi_D$ .

So the rainbow proof's **Conjecture 1.5 (2-SAT solvability)** says: for every  $\sigma$  with both halves a permutation of  $\{1, 2, 3\}$ , the resulting cyclic 2-SAT system has at least one satisfying assignment.

## Why a cyclic 2-SAT chain can fail

A 2-SAT cycle *can* be unsolvable when the implications chain around and force a contradiction. Toy example with 3 variables on a cycle:

- Clause 1:  $o_0 \neq o_1$ .
- Clause 2:  $o_1 \neq o_2$ .
- Clause 3:  $o_2 \neq o_0$ .

Implications:

$$o_0 = 0 \Rightarrow o_1 = 1 \Rightarrow o_2 = 0 \Rightarrow o_0 = 1.$$

Contradiction. So this 3-cycle of “not-equal” clauses is unsatisfiable (it is the chromatic-number obstruction: a triangle has no proper 2-coloring).

## Why we believe our cyclic chain doesn't fail

For our  $m$ -variable cyclic 2-SAT (with clauses determined by  $\sigma \in \mathcal{P}_m$ ), the analogous question is: does the specific pattern of clauses ever create such a wrap-around contradiction?

**Empirically:** no. For  $m = 6$ ,  $m_1 \in \{5, 6, 7, 8\}$  and all 36 elements of  $\mathcal{P}_6$ , the cyclic 2-SAT system always has between 6 and 18 satisfying assignments (computed in `experiments/orbit_decomposition.py`).

**Structurally:** the constraints have a special form (forbidden combos depend on  $\sigma_j, \sigma_{j+1}$  via the third color  $u = \{1, 2, 3\} \setminus \{\sigma_j, \sigma_{j+1}\}$ ). The hope is that a parity or implication-graph argument shows the cyclic chain cannot consistently wrap around to a contradiction when  $\sigma$  has the perm-half shape. This argument is what the proof of Conjecture 1.5 would supply, and what is currently missing.

## Why we care

Proving Conjecture 1.5 would convert `rainbow_proof.tex`'s “provisional corollary” into a genuine theorem:

$$\pi_D(\mathcal{C}(T)) = \mathcal{P}_m \quad \text{whenever } m_1 \geq m - 1,$$

which in turn upgrades the chain-pigeonhole step at  $|\gamma| = m$  to a structural claim of the form “ $\pi_U(\mathcal{C}(T_2))$  meets the fixed 36-element set  $\mathcal{P}_m$ ,” a strictly smaller and more tractable overlap condition than “ $\pi_U$  meets  $\pi_D$ .”