

# Outer-triangle absorption: a proof via the $K_3$ -walk parity invariant

## Summary

The closed-chain experiment (`sr_closed_chain.py`) showed that under SR + PDS, every tested closed chain ending at the outer triangle ( $m_n = 3$ ) has final state *exactly* the 6 permutations of  $\{1, 2, 3\}$ . The original “outer triangle absorption” hypothesis (H2:  $T_n$  alone absorbs any input to the 6 permutations) was **refuted**:  $T_n$  alone has  $U$ -projection equal to all 27 elements of  $\{1, 2, 3\}^3$ . So the absorption is a chain-wide phenomenon, not a local property of  $T_n$ .

This note establishes the actual mechanism: a  $K_3$ -walk parity invariant on the -color counts, preserved through chain forward propagation. At the outer triangle the invariant forces to be a permutation.

The 0-violation empirical check (across 3 representative chains of varying length) confirms the proof.

## The $K_3$ -walk parity invariant

A proper edge 3-coloring of  $C_n$  is, equivalently, a closed walk of length  $n$  in  $K_3$  (the complete graph on the 3 colors): the walk visits color  $c_i \in \{1, 2, 3\}$  at step  $i$ , with  $c_i \neq c_{i+1}$  (the proper-coloring constraint becomes “no two consecutive steps in the same color”). At each cycle vertex  $v_i$  in  $C_n$  the “missed color”  $\sigma_i := \{1, 2, 3\} \setminus \{c_{i-1}, c_i\}$  is exactly the third color, and  $\sigma_i$  is the  $K_3$ -edge not touching the walk-step at position  $i$ .

**Lemma 1** ( $K_3$ -walk parity). *Let  $m_{ab}$  be the number of times the closed walk traverses the  $K_3$ -edge  $\{a, b\}$ . Then  $m_{12} \equiv m_{13} \equiv m_{23} \pmod{2}$ .*

*Proof.* The walk’s degree at each  $K_3$ -vertex (color  $c$ ) equals the total number of step traversals incident to  $c$ . For color 1 this is  $m_{12} + m_{13}$ ; for color 2 it is  $m_{12} + m_{23}$ ; for color 3 it is  $m_{13} + m_{23}$ .

In a closed walk every vertex’s degree is even. So

$$m_{12} + m_{13} \equiv 0, \quad m_{12} + m_{23} \equiv 0, \quad m_{13} + m_{23} \equiv 0 \pmod{2}.$$

Subtracting any two gives  $m_{13} \equiv m_{23} \equiv m_{12} \pmod{2}$ . □

**Corollary 2** (-color-count parity). *For the induced on  $C_n$ , the three color counts  $a_c = |\{i : \sigma_i = c\}|$  have the same parity. Specifically  $a_c = m_{ij}$  where  $\{i, j\} = \{1, 2, 3\} \setminus \{c\}$ .*

## Chain propagation preserves the parity invariant

For an SR tire  $T = (m, k)$  with dual cycle length  $n = m + k$ :

**Lemma 3** (Tire pair parity decomposition). *For any  $(\sigma_U, \sigma_D) \in \Pi_T$  (the joint support of  $T$ ), let  $\sigma_{\text{total}}$  be  $\sigma$  at all  $n$  positions of  $T$ 's dual cycle, so that*

$$\sigma_{\text{total-color counts}} = \sigma_U\text{-color counts} + \sigma_D\text{-color counts}$$

*component-wise. Then*

$$\text{parity}(\sigma_U\text{-color counts}) = \text{parity}(\sigma_{\text{total-color counts}}) - \text{parity}(\sigma_D\text{-color counts}).$$

(“Parity” here means parity of any color count, since all three agree by Cor. 2.) Both sides are well-defined parities in  $\{0, 1\}$ , and addition is mod 2.

**Theorem 1** (Chain forward propagation preserves parity). *Consider a chain  $T_1|T_2|\dots|T_n$  with  $T_i = (m_i, k_i)$  and  $k_{i+1} = m_i$  (adjacency). If the initial state at  $L_1$  has all-same-parity color counts matching  $m_1$ , then forward propagation through each  $T_{i+1}$  produces a new state at  $L_{i+1}$  with all-same-parity color counts matching  $m_{i+1}$ .*

*Proof.* By induction on the chain step. Suppose the state at  $L_i$  has all-same-parity color counts  $\equiv k_{i+1}$ . Forward propagation gives new state at  $L_{i+1}$  as

$$\text{state}_{i+1} = \{ \sigma_U : (\sigma_U, \sigma_D) \in \Pi_{T_{i+1}} \text{ for some } \sigma_D \in \text{state}_i \}.$$

For any such pair, by Lem. 3,

$$\text{parity}(\sigma_U) = \text{parity}(\sigma_{\text{total}}) - \text{parity}(\sigma_D) \equiv n_{i+1} - k_{i+1} = m_{i+1} \pmod{2}.$$

So every  $\sigma_U \in \text{state}_{i+1}$  has all-same-parity color counts  $\equiv m_{i+1}$ .  $\square$

The base case (initial state at  $L_1$ ): for  $T_1$  with degenerate  $B_{\text{in}}$ , the at  $B_{\text{out}} = L_1$  is the induced on  $C_{m_1}$  over all proper cycle 3-colorings. By Cor. 2, this has all-same-parity matching  $m_1$ .

## Outer-triangle absorption

**Theorem 2** (Outer-triangle absorption). *For an SR + PDS closed chain  $T_1|\dots|T_n$  with  $T_1$  degenerate-  
inner and  $m_n = 3$ , the forward-propagated state at the outer triangle  $L_n$  is contained in the set of  
6 permutations of  $\{1, 2, 3\}$ .*

*Proof.* By Thm. 1, the state at  $L_n$  has all-same- parity color counts  $\equiv m_n = 3 \pmod{2}$ , i.e. all odd. Since the counts sum to 3 and each count is a non-negative odd integer, each count is  $\geq 1$ , and the only solution to  $a + b + c = 3$  with  $a, b, c \geq 1$  odd is  $a = b = c = 1$ . So every in the final state uses each color exactly once, i.e. is a permutation of  $\{1, 2, 3\}$ .  $\square$

Combined with the outer-face dual-vertex constraint in  $G'$  (which also forces a permutation on the outer triangle, by proper edge 3-coloring around the degree-3 outer face dual), the parity invariant gives a clean structural reason why *the outer-face constraint is automatic from chain propagation*.

## Empirical verification

Across all 3 chains tested in the parity verification (`sr_closed_chain.py` extended), **zero violations** of the parity invariant at any chain step:

chain	step	violations
$(5, 1) (6, 5) (5, 6) (3, 5)$	$L_1, L_2, L_3, L_4$	0/30, 0/132, 0/60, 0/6
$(5, 1) (8, 5) (8, 8) (5, 8) (3, 5)$	$L_1, \dots, L_5$	0/30, 0/708, 0/1476, 0/60, 0/6
$(6, 1) (8, 6) (10, 8) (10, 10) (8, 10) (5, 8) (3, 5)$	$L_1, \dots, L_7$	all 0 out of the state sizes

## What this tells us about the original “H1 vs H2” question

- **H2 is false:**  $T_n$  alone has  $U$ -projection equal to all 27 elements of  $\{1, 2, 3\}^3$ , so  $T_n$  is *not* an absorbing filter independently of input.
- **H1 is true and structurally explained:** the chain does real work, and the work is encoded by the parity invariant. At  $L_n = 3$ , the invariant forces  $\sigma$  to be a permutation. This is essentially independent of which specific chain produces the state.

## The remaining piece: non-emptiness

Thm. 2 shows state at  $L_n$  is contained in the 6 permutations. Empirically, state at  $L_n$  *equals* the 6 permutations (not a strict subset). This is the non-emptiness half:

**Conjecture** (Closed-chain non-emptiness). *For every closed SR + PDS chain  $T_1 | \dots | T_n$  with  $T_1$  degenerate-inner and  $m_n = 3$ , the forward-propagated state at  $L_n$  contains all 6 permutations of  $\{1, 2, 3\}$ .*

The empirical data is consistent with this: in every tested chain the state at  $L_n$  is exactly 6. A proof would presumably proceed by induction (state at  $L_i$  is closed under the  $S_3$  action on colors, which is preserved by chain propagation, and at  $L_n = 3$  this  $S_3$ -invariance forces the state to be a union of  $S_3$ -orbits; the only  $S_3$ -orbits of  $\sigma$  at  $L_n$  that satisfy the parity invariant are the constant orbit (excluded since constants aren’t permutations) and the single  $S_3$ -orbit of permutations). The non-empty piece would then follow from chain reachability (state non-empty throughout, which we’ve also seen empirically).

If both Thm. 2 and Conj. hold, the closed-chain pigeonhole step is complete: state at  $L_n$  is exactly the 6 permutations, automatic from the parity structure of any proper edge 3-coloring of any cycle.

## What’s left for 4CT

This proves item 4 of the outline in `outer_triangle_absorption.tex` (closed-chain compatibility), under the modeling assumption that the chain is SR. The remaining load-bearing piece is item 2:

**SR-correctness for actual  $G$ :** prove that for every maximal planar  $G$  (or every internally 6-connected  $G$ , sufficient for the minimum-counterexample reduction), the PDS tire decomposition gives chains whose face connectors are accurately modeled by SR.

This is the modeling gap. Once closed, the parity-invariant proof combined with chain non-emptiness (Conj. ) gives a structural proof of 4CT under the PDS framework.