

# Chain half of the loose conjecture: tree DP and where it gates

## Recap

The loose chain pigeonhole conjecture ( $k \geq 2$  form, `cut_depth_label.tex`) has two halves:

**Per-tire half.** For every cut tire  $T$  with  $\geq 2$  in/out spokes total, the joint projection  $\pi(T) \subseteq \{1, 2, 3\}^k$  is non-empty,  $S_3$ -closed, and contains a full  $S_3$  orbit of size 6. Proven for spoke-only cut tires ( $T'_{\text{ann}}$  = simple cycle  $C_n$ ,  $n \geq 3$ ) via Prop 1.13 of `paper.tex`.

**Chain half.** Composing per-tire projections through the cut-tire forest (`cut_tire_tree_structure.tex`, rigorously proved) yields  $\mathcal{R}_i \neq \emptyset$  on each side  $i$  of the cut, with  $\mathcal{R}_0 \cap \mathcal{R}_1 \neq \emptyset$  containing a common  $S_3$ -orbit at the cut.

## Tree DP formulation of the chain half

The high-side cut tires of  $G'_i$  form a forest (rigorously proved). Process tires bottom-up:

**(1) Leaves.** A leaf cut tire  $T_{d_{\max}}^{(f)}$  has no children. Its “achievable projection” onto its out spokes (depth- $(d_{\max} - 1)$  direction) is

$$A(T) := \pi_{\text{out}}(T),$$

the projection of the per-tire projection  $\pi(T)$  onto the out spokes alone (in spokes are unconstrained at leaves). By per-tire,  $|A(T)| \geq 6$  with full  $S_3$ -orbit.

**(2) Internal nodes.** For a cut tire  $T_p = T_d^{(f)}$  with children  $T_{c_1}, \dots, T_{c_r}$ , define:

$$A(T_p) := \left\{ \begin{array}{l} \sigma_{\text{out}}(T_p) : \exists \chi \text{ proper edge 3-coloring of } T_p \text{ such that} \\ \forall j : \chi|_{\text{in-spokes corresponding to } T_{c_j}} \in A(T_{c_j})' \end{array} \right\},$$

where  $A(T_{c_j})'$  is the “transferred-back” achievable projection of child  $T_{c_j}$  onto the corresponding parent in-spoke positions.

The transfer back: an in spoke of  $T_p$  at parent boundary vertex  $v$  corresponds to a depth- $(d+1)$  edge  $e^{**}$  in  $G'_i$ . This  $e^{**}$  is a face-boundary edge of  $T_{c_j}$  (the unique child whose face contains  $v$  as a boundary endpoint of  $e^{**}$ ). So the parent’s in-spoke color at  $v$  = child’s face-boundary-edge color at  $e^{**}$ .

For the projection  $A(T_{c_j})$  to constrain parent’s in spokes, we’d need to know how child’s spoke colors determine cycle edge colors at specific positions. In the spoke-only case (Prop 1.13), each spoke color at  $u$  equals the “third color” = the unique color not appearing on the two cycle edges incident at  $u$ . So spoke colors determine the constraint set on cycle colors at each vertex.

**(3) Roots and the cut.**  $T_1^{(\cdot)}$  are roots. Their out spokes are the pendant edges = the cut configuration  $\sigma_i$ . The achievable cut configurations:

$$\mathcal{R}_i := \bigcup_{\text{roots } T_1^{(f)}} A(T_1^{(f)}) \quad (\text{or restricted, depending on how root constraints compose}).$$

**(4) Cross-cut.**  $G'$  is properly 3-edge-colourable iff  $\mathcal{R}_0 \cap \mathcal{R}_1 \neq \emptyset$  (under the bijection between the two sides' cut edges).

## What's preserved through the tree DP

**$S_3$ -closure: preserved**

**Lemma** ( $S_3$ -equivariance of tree DP). *If every  $A(T_{c_j})$  is  $S_3$ -closed (under diagonal action on colours), then  $A(T_p)$  is  $S_3$ -closed.*

*Proof.* The proper-edge-coloring constraint at every vertex is preserved by  $S_3$  acting on colours uniformly. Applying  $\pi \in S_3$  to a valid  $\chi$  for  $T_p$  gives another valid  $\chi$ . Compatibility with children: parent's in spokes are uniformly  $\pi$ -shifted, and each child's  $A(T_{c_j})$  is  $S_3$ -closed by hypothesis, so the shifted parent in spokes still hit  $A(T_{c_j})$ . Hence  $\pi(\sigma) \in A(T_p)$  for any  $\sigma \in A(T_p)$ .  $\square$

By induction from leaves (where  $A = \pi$  is  $S_3$ -closed by per-tire half), every  $A(T)$  is  $S_3$ -closed. This is the easy half.

**Non-emptiness: open, but constrained**

**Conjecture** (Non-emptiness preservation). *If every  $A(T_{c_j})$  contains a full  $S_3$ -orbit of size 6, then  $A(T_p)$  also contains a full  $S_3$ -orbit.*

This is the genuine open piece of the chain half.

**Why it isn't trivial.** Two  $S_3$ -closed subsets of  $\{1, 2, 3\}^k$  can have empty intersection even if both contain  $S_3$ -orbits. Example: orbit of  $(1, 2, 3)$  vs orbit of  $(1, 1, 2)$  in  $\{1, 2, 3\}^3$  are disjoint.

So the conjecture would require a structural reason that parent + children combined always have at least one common assignment with all 3 colours present (= a full  $S_3$ -orbit).

**Why it's plausible.** Empirical data from the partial-tire-dual chain pigeonhole (`tire_fiber_step2.tex`): 23/23 pairwise compatibility tests succeeded, with the intersections containing  $S_3$ -orbits and structured by “rainbow” or similar canonical orbits (`orbit_decomposition.tex`). These results suggest a structural reason for non-emptiness; we just don't have a clean proof.

**What would close the proof.** Show that for spoke-only cut tires, the per-tire projection  $\pi(T)$  has the property: *for any specified colours on the in spokes that come from  $S_3$ -orbits, there is a compatible parent coloring.* Specifically:

**Conjecture** (Strong per-tire extendibility). *Let  $T$  be a spoke-only cut tire with face boundary a simple cycle  $C_n$  ( $n \geq 3$ ). For any  $\sigma_{\text{in}} \in \{1, 2, 3\}^{n_{\text{in}}}$  such that  $\sigma_{\text{in}}$  lies in a non-trivial  $S_3$ -orbit (i.e. uses  $\geq 2$  colours and is in  $\pi(T)$ 's  $S_3$ -symmetric support), there exists a proper edge 3-coloring  $\chi$  of  $T$  with  $\chi|_{\text{in-spokes}} = \sigma_{\text{in}}$  and  $\chi|_{\text{out-spokes}}$  a non-trivial  $S_3$ -orbit on the out-spoke side.*

If this conjecture holds, the chain DP preserves non-emptiness: for each non-trivial parent  $\sigma_{\text{in}}$  (= child's face boundary edges) provided by children, parent has a coloring with out spokes in a non-trivial  $S_3$ -orbit, hence  $A(T_p)$  contains a full  $S_3$ -orbit.

## Empirical next step

Cut-tire tree DP empirical test:

1. For each test graph (HM #0 through #5, dodecahedron, BuckyBall), build the cut tire forest on each side.
2. For each leaf, compute  $A(T_{\text{leaf}})$ .
3. Bottom-up propagate  $A(\cdot)$  to roots.
4. Compare  $\mathcal{R}_0 \cap \mathcal{R}_1$  at the cut.

This is the analogue of `tire_fiber_step2.tex` for the cut-tire setting. If empirically  $\mathcal{R}_0 \cap \mathcal{R}_1 \neq \emptyset$  universally, the chain half is on firm empirical ground; the proof would still need Conjecture or a structural shortcut.

## Caveat discovered empirically: cut tires are not spoke-only

A first-pass empirical test (`experiments/chain_dp_test.py`) on the dodecahedron and Holton–McKay #0 revealed a structural complication: *cut tires are not in general spoke-only*.

**What goes wrong.**  $H_d$  may have vertices of degree 3 (all three incident edges have depth  $d$ ). In a cubic ambient graph  $G'_i$ , this happens when three depth- $d$  edges meet at a single vertex. At such a vertex:

- There is no third edge available to be a spoke.
- The face boundary walk of  $H_d$  visits the vertex *twice* (as a branch point).

For example, in the dodecahedron, a 6-edge cut produces  $H_1$  with 1 face whose boundary has length 20 but only 11 distinct vertices — 9 branch-point visits.

**Consequence for Prop 1.13.** The per-tire half (proven via Prop 1.13) covers *spoke-only* cut tires (face boundary = simple cycle  $C_n$ ,  $n \geq 3$ ). It does *not* cover branched cut tires.

## Two ways forward.

1. *Restrict.* Identify which graphs  $G$  have the property that every cut tire of every 6-edge cut is spoke-only. This is a genuine *a priori* restriction.
2. *Generalise.* Extend the per-tire half to branched cut tires. Proper edge 3-coloring on such a structure is well-defined and probably has a non-empty  $S_3$ -closed projection by similar arguments, but the explicit count  $2^n + 2(-1)^n$  no longer applies.

**What this changes in the chain DP.** The chain DP is still well-formed: enumerate proper 3-edge-colorings of each cut tire (now allowing branches), project to out spokes, restrict via children. The per-tire half just needs the generalized form.

**Second issue: out-spoke projection loses  $S_3$  orbit.** The per-tire half guarantees a full  $S_3$  orbit on the *joint* in+out spoke projection  $\pi(T)$ . After restricting to OUT spokes only (which is what the parent uses), the projection  $A(T)$  may contain fewer than 6 elements — e.g. all out spokes might be forced to a constant tuple by some structural symmetry, giving  $|A(T)| = 3$  ( $= \{(0, 0, \dots), (1, 1, \dots), (2, 2, \dots)\}$ ). Initial empirical runs on the dodecahedron and HM #0 see exactly this happen at  $\sim 20\%$  of cut tires. This is *not* a bug in the per-tire half; it is a genuine limitation of the OUT-only projection.

The correct chain DP formulation should track the joint (in + out) projection, not just OUT. This is the analogue of `tire_fiber_step2.tex`’s joint-support tracking.

**Third issue: heuristic parent-finding.** The current `cut_tire_tree.find_parent_face` uses a vertex-overlap heuristic (smallest face among overlapping candidates). Per the high-side proposition (`cut_tire_tree.structure.tex`), the geometrically-correct parent is unique, but the empirical script does not enforce this — it picks by smallest-face heuristic, which can mis-attribute children to wrong parents. For a rigorous empirical test, parent assignment should use the planar embedding’s face-in-face containment, not vertex overlap.

**Fourth issue: when  $H_d$  is a tree, the high-side forest is empty.** The level-set lemma forces each face of  $H_d$  to be entirely low-side or entirely high-side. If  $H_d$  has no cycles (= tree/forest), it has a single face containing all non- $H_d$  edges of  $G'_i$ , and that face must be one or the other (low or high), not both. In the small-side regime (e.g. dodecahedron 6-edge cut with  $|S_0| = 4$ , side 0), the BFS only reaches depth 1 and  $H_1$  is a tree (5 edges, 6 vertices, 1 face containing the 6 pendants). This single face is low-side — so the high-side cut tire forest of  $G'_0$  is *empty*.

In this case, the framework gives no high-side cut tires on  $G'_0$ , hence  $\mathcal{R}_0 = \emptyset$  by the framework’s projection to root out-spokes. But  $G$  is 3-edge-colorable (dodecahedron is Hamiltonian, hence Tait colorable), so the *true*  $\mathcal{R}_0$  is non-empty (= the cut-projection of  $G$ ’s 60 colorings, giving  $|R_{\text{ground}}| = 36$ ).

So the framework’s high-side cut tire forest *loses coverage* for thin (small- $|S_i|$ ) cuts. The low-side face also carries coloring information — it contains the pendants and the depth-1 subgraph — but it isn’t included as a “cut tire” in the high-side formulation.

This isn’t strictly a bug in any proof so far; it’s a coverage gap in the framework’s scope. To handle these cases, one of:

- Restrict the conjecture to cuts where both sides have “deep” BFS (e.g.  $\min(|S_0|, |S_1|) \geq k$  for some  $k$  ensuring high-side faces exist).
- Extend the framework to include the low-side face as a special “boundary cut tire” connecting pendants to the  $H_1$  structure.

## Net status of the loose conjecture

component	status	note
Per-tire half (spoke-only $n \geq 3$ )	proven	Prop 1.13
Per-tire half (branched)	open	non-cycle face boundaries
Tree structure (forest, high-side)	proven	<code>cut_tire_tree_structure.tex</code>
Chain DP $S_3$ -equivariance	proven	this note, Lemma
Joint projection DP	implemented, buggy	<code>chain_dp_joint.py</code>
Coverage when $H_d$ is a tree	gap	low-side face carries info
Chain DP non-emptiness preservation	open	Conj.
Bottom-line $\mathcal{R}_0 \cap \mathcal{R}_1 \neq \emptyset$	open	$G$ -colorability gives it

The chain half reduces to multiple structural claims:

- per-tire half for branched cut tires;
- joint-support DP (track  $\pi(T)$ , not just OUT projection);
- handling cases where  $H_d$  is a tree (no high-side faces);
- non-emptiness preservation (Conj. or Strong per-tire extendibility).

The  $S_3$ -equivariance and forest structure (with high-side restriction) are in hand. The full chain half is genuinely open and the framework has coverage gaps not previously identified.

**Empirical baseline for ground-truth comparison.** `chain_dp_joint.py` compares the chain DP output against a brute-force enumeration of  $G'_i$ 's proper 3-edge colorings projected to the cut. On the dodecahedron, ground truth shows  $|R_{\text{ground}}| \in \{36, 42, 48\}$  across the first few cuts, but DP outputs 0 for any side where  $H_1$  is a tree (= no high-side cut tires). This isn't a DP bug per se — it's the framework lacking coverage.

**Path forward.** The cleanest next step is probably the *boundary cut tire* extension: define an additional “cut tire”  $T_0$  that represents the low-side face of  $H_1$  + its incident pendants.  $T_0$ 's spokes are the cut edges; its cycle structure is the boundary of the low-side face. The high-side forest then sits “inside”  $T_0$ , and the chain DP runs from leaves up through  $T_0$  to the cut. This recovers the missing coverage and gives a more uniform formulation.