

# Closed PDS chains under SR: outer-triangle absorption and the chain-pigeonhole conclusion

## What this note records

This is the experimental record of the SR + PDS closed-chain experiment that followed the realisation (from `tire_fiber_chunked.py` and `sr_chain_consistency.py`) that the Steiner-poor (SP) model with chord-on- $O$  constraints is not the right setting for PDS-decomposed maximal planar graphs. In the correct SR setting, each  $\gamma$ -edge has its own inner-spoke pendant, and the chord-induced face structure of  $O$  does not enter  $T'_{f'}$  directly. Under this regime:

- Step 1 (saturation) gives  $\pi_D$  on the long-side saturates  $\{1, 2, 3\}^k$  when  $m \geq k$  (proven empirically up to  $k = 12$  in `tire_fiber_data.tex`; conjectured in general).
- Step 2 (pairwise chain compatibility) is automatic from step 1 whenever PDS grows outward ( $m_1 \geq |\gamma|$  in each adjacent tire's outer-side).
- Step 3 (chain-wide consistency for open chains): held in every tested case in `sr_chain_consistency.py`, with forward state size growing monotonically.

The remaining concern was the **boundary** of the chain. A PDS on a planar triangulated disk has two ends:

- Innermost:  $L_0$  a single source vertex (degenerate  $B_{\text{in}}$  for  $T_1$ ). No constraint on  $\sigma$  at  $L_0$ .
- Outermost:  $L_n$  the boundary of the outer face of  $G$ . In the standard reduction (Birkhoff: minimal counterexample is internally 6-connected, hence the outer face is a triangle),  $L_n$  is a 3-cycle. Its dual constraint forces  $\sigma$  at  $L_n$  to be a permutation of  $\{1, 2, 3\}$ .

## The closed-chain experiment

Script: `experiments/sr_closed_chain.py`. Output: `experiments/sr_closed_chain_data.txt`.

We forward-propagate state through a tire chain  $T_1|T_2|\dots|T_n$  with  $T_1$ 's  $B_{\text{in}}$  degenerate (the source vertex) and  $T_n$ 's  $B_{\text{out}}$  being the outer triangle of length 3. Each tire is SR (no chord constraints) and the chain shape (the sequence of level-cycle sizes) is varied. At each step, the state is the set of  $\sigma$ -patterns realisable on the current shared cycle.

## Results

Across all 10 tested chains (source degrees 5, 6, 7; chain lengths 4 to 7; various growth/shrink shapes; outer triangle fixed at size 3):

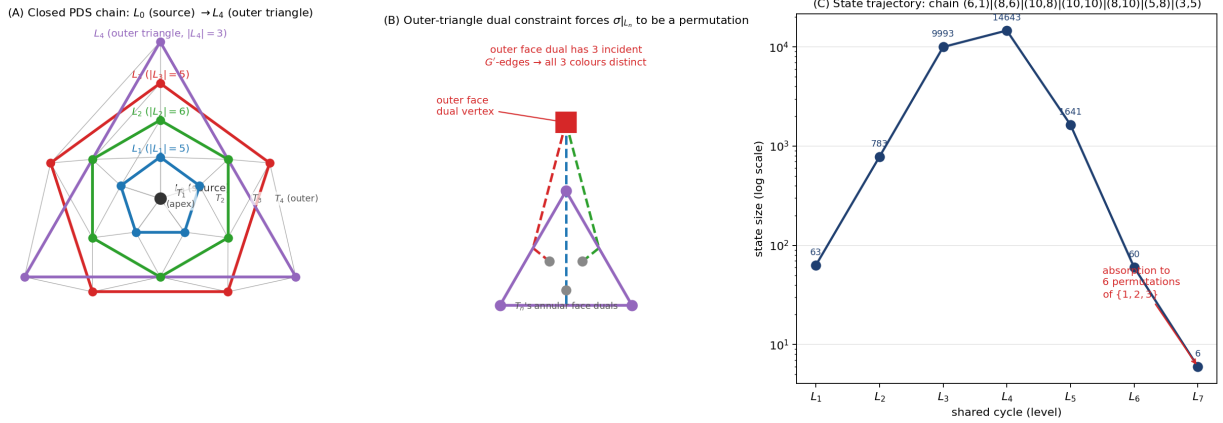


Figure 1: (A) A representative closed PDS chain on a triangulated disk: source  $L_0$ , level cycles  $L_1, L_2, L_3, L_4$  with sizes 5, 6, 5, 3. Tires  $T_1, T_2, T_3, T_4$  are the annular regions between consecutive levels. (B) The outer triangle’s contribution in  $G'$ : the outer face of  $G$  becomes a single  $G'$ -vertex of degree 3. Proper edge 3-colouring requires its three incident  $G'$ -edges (the duals of the three outer-triangle edges) to be distinct. So  $\sigma|_{L_n}$  must be a permutation of  $\{1, 2, 3\}$ . (C) State trajectory for the chain  $(6, 1)|(8, 6)|(10, 8)|(10, 10)|(8, 10)|(5, 8)|(3, 5)$ : state grows where cycles are widest and *collapses to exactly 6 at the outer triangle*.

- Every chain is consistent (state never empties).
- In every chain, the final state at  $L_n$  has size **exactly** 6, with all 6 elements being the permutations of  $\{1, 2, 3\}$  on the 3 outer-triangle edges.
- The outer-face dual-vertex constraint (degree 3, distinct colours) is satisfied automatically.

Sample trajectories:

chain	state sizes
$(5, 1) (6, 5) (5, 6) (3, 5)$	$30 \rightarrow 132 \rightarrow 60 \rightarrow 6$
$(5, 1) (7, 5) (5, 7) (3, 5)$	$30 \rightarrow 312 \rightarrow 60 \rightarrow 6$
$(5, 1) (8, 5) (8, 8) (5, 8) (3, 5)$	$30 \rightarrow 708 \rightarrow 1476 \rightarrow 60 \rightarrow 6$
$(6, 1) (9, 6) (8, 9) (5, 8) (3, 5)$	$63 \rightarrow 1836 \rightarrow 1623 \rightarrow 60 \rightarrow 6$
$(6, 1) (8, 6) (10, 8) (10, 10) (8, 10) (5, 8) (3, 5)$	$63 \rightarrow 783 \rightarrow 9993 \rightarrow 14643 \rightarrow 1641 \rightarrow 60 \rightarrow 6$
$(7, 1) (9, 7) (7, 9) (3, 7)$	$126 \rightarrow 2130 \rightarrow 546 \rightarrow 6$

## What “outer triangle absorption” means

The empirical pattern is striking: the final state is always exactly 6, and those 6 are exactly the permutations of  $\{1, 2, 3\}$ . But this could be one of two distinct things:

- (H1) **Chain-dependent absorption.** The state *before*  $T_n$  depends on the chain, but every such state happens to filter through  $T_n$  to the same 6-element set. In this case the chain-pigeonhole step is non-trivial: it succeeds in feeding  $T_n$  with the right kind of input.
- (H2)  **$T_n$ -only absorption.**  $T_n$  (the outer tire with  $m_n = 3$ ) has the property that its  $\sigma_U$ -support is intrinsically the 6 permutations of  $\{1, 2, 3\}$ , *regardless* of what state is fed to its  $\sigma_D$ -side. In this case the absorption is a local property of  $T_n$  alone, and the chain-consistency before  $T_n$  contributes nothing.

These two are testable empirically: under (H1), feeding  $T_n$  an unrelated or random  $\sigma_D$  state should not always give 6 permutations; under (H2), the output is always 6 permutations regardless of input.

**Conjecture** ( $T_n$ -absorption is local). *For any tire  $T_n$  with  $m_n = 3$  and  $k_n \geq 3$ , the  $\sigma_U$  projection of  $T_n$ 's joint support equals exactly the  $S_3$ -orbit of  $(1, 2, 3)$  on the 3 outer-spoke positions. Equivalently: every proper edge 3-colouring of  $C_{n_n}$ , when restricted to the 3  $U$ -positions, gives a permutation of  $\{1, 2, 3\}$ .*

If the conjecture holds, the closed-chain pattern is partly *trivial*: the outer tire takes care of itself. The non-trivial content of the chain consistency lives in (a) chain non-emptiness (state never collapses) and (b) the final state's intersection with the 6-element target being non-empty.

Under (H1), in contrast, the chain-pigeonhole is doing real work, threading the input to  $T_n$  through a specific structure that the chain enforces.

## Why this matters for 4CT

A proof of 4CT via this approach would have four ingredients:

1. **PDS exists**: Bauerfeld's PDS gives a tire decomposition of every maximal planar  $G$  from a level source.
2. **SR is correct**: for  $G$  a triangulation with sufficient connectivity (Birkhoff: internally 6-connected), the SR model accurately describes  $T'_f$  at each tire. (This is what makes the chord-on- $O$  artifacts go away.)
3. **Open-chain compatibility**: at every shared  $\gamma$ ,  $\pi_D$  from the outer tire saturates  $\{1, 2, 3\}^\gamma$ , so every  $\sigma$  from the inner tire admits a continuation. (Step-1 saturation + outward PDS.)
4. **Closed-chain compatibility**: the forward-propagated state at the outer triangle contains a permutation of  $\{1, 2, 3\}$ . Empirically yes, in all tested cases.

Items 1 and 2 are structural and depend on the PDS/Birkhoff theory. Item 3 is step-1 saturation. Item 4 is what this note's experiment addresses, with the data above.

If Conjecture is true, item 4 is automatic from local structure of  $T_n$ . Combined with item 3 (chain non-emptiness), the whole pigeonhole story closes for any PDS chain ending at an outer triangle.

If Conjecture is false, item 4 still holds empirically but for a genuinely chain-dependent reason: the chain-pigeonhole does real structural work. Either resolution would be informative.

## What's next

1. **Test the absorption conjecture directly**. Take a tire  $T_n = (m = 3, k)$  for varying  $k$  and check whether its  $\sigma_U$ -projection equals exactly the 6 permutations of  $\{1, 2, 3\}$ . This is a single-tire computation, fast.
2. **Exhaustive chain enumeration**. The 10 tested chains are representative but not exhaustive. Generate all valid PDS-shape chains of bounded length (e.g.,  $\leq 8$  tires,  $\leq 12$  max cycle size) and run forward propagation on each. Any chain where state collapses to  $\emptyset$  before  $T_n$ , or where the final  $L_n$  state misses some permutation, would be a real counterexample.

3. **Connect to PDS in actual  $G$ .** Verify that for an internally 6-connected  $G$ , every PDS from any source gives a chain with the right SR structure (item 2). This is the outstanding gap to make the analysis genuinely a 4CT argument.
4. **Symbolic proof of absorption.** If Conjecture holds, prove it by direct cycle-coloring analysis: any proper edge 3-colouring of  $C_{m+k}$  (with  $m = 3$ ) restricted to 3 spread positions is a permutation. This should be elementary.

## Caveats

- “Outer triangle absorption” is a name I have given to the observed phenomenon; it is not yet a theorem.
- The 10 tested chains are hand-picked. An exhaustive enumeration is warranted before claiming strong evidence.
- The SR-is-correct claim (item 2 above) is the load-bearing modelling assumption. It is not yet verified in the literature in the form I am stating; tying this back to actual PDS+ $G$  structure is the most important remaining gap.
- The reflection-handling in `sr_closed_chain.py` picks the orientation that maximises forward state at each step. In a real  $G$  with a fixed embedding, the orientations are determined, and the worst-of-orientations might differ from the best-of. This is unlikely to matter under saturation but is worth checking.